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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/996,398	11/28/2001	Jai K. Baek	212/340

CONFIRMATION NO. 3095

## FORMALITIES LETTER



\*OC00000007188363\*

Crockett & Crockett  
Suite 400  
24012 Calle De La Plata  
Laguna Hills, CA 92653

Date Mailed: 12/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

02/26/2002 TV0111 00000013 033700 09996398

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## Transmittal of Missing Parts

To: Hon. Commissioner of Patents and Trademarks  
Washington, D. C. 20231

Sir: Enclosed for filing please find the missing parts for the following patent application:  
Inventors: Jai K. Baek  
Title: Boot Liner With Gel Pads

Enclosed please also find the following papers:

Serial Number: 09/996,398

- ☐ 8 pages Specification; 4 pages claims; 1 pages abstract Filing Date November 28, 2001
- ☐ 4 sheets drawings (☐ informal ☒ formal)
- ☒ Declaration and Power of Attorney (☐ combined ☒ separate)
- ☒ Assignment of the invention
- ☒ Claiming Small Entity Status
- ☒ Form PTO-1533
- ☐ Petition for Grant of Filing Date and Declaration in Support of Petition for Grant of Filing Date ☐
- ☐ Preliminary Amendment
- ☐ Petition for Extension of Time
- ☐ Other: \_\_\_\_\_

The filing fee has been calculated as shown below:

Basic Filing Fee				Paid
Total Claims	27		18	Paid
Independent Claims	3		84	\$0
Multiple Dependent Claims	<input type="checkbox"/>		280	\$0
Surcharge 37 CFR 1.16(E)	<input checked="" type="checkbox"/>			\$130
Total Calculations				\$130
Reduction for Small Entity			<input checked="" type="checkbox"/> Applicant claims small entity status.	\$65
Assignment (\$40)	<input type="checkbox"/>			\$0
TOTAL FEES				\$65

- ☐ Check No. \_\_\_\_\_ in the amount of \$65 to cover the above fees is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the fees associated with this communication, any deficiency in the fee paid, or credit any overpayment, to Deposit Account 03-3700.

Date: February 8, 2002

By: \_\_\_\_\_

K. David Crockett, Esq., Reg. No. 34,311

Crockett & Crockett  
24012 Calle De La Plata, Suite 400 Tel (949) 588-6171  
Laguna Hills, CA 92653 Fax (949) 588-6172

## Certificate of Mailing (37 CFR 1.10)

I hereby certify that this transmittal (along with any paper referred to as being attached or enclosed) is being deposited in Express Mail using Express Mail Post Office to Addressee with the United States Postal Service on the date shown below in an envelop addressed to the Assistant Commissioner of Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231

Express Mail Label EL805376121US

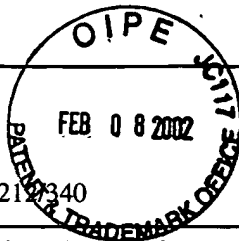
Date: February 8, 2002

\_\_\_\_\_  
K. David Crockett, Esq., Reg. No. 34,311

#3

Form PTO-1595  
1-21-92U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeRECORDATION FORM COVER  
SHEET PATENTS ONLY

Docket No. 212340



To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies)

Jai K. Baek

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party

Name: DC Shoes, Inc.

Internal Address

Street 1333 Keystone Way Unit A,  
Vista, CA 92083Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement =☐ Change of Name☐ Other

Execution Date: January 2, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No. 09/996,398

Additional Numbers attached?

B. Patent No.

☐ Yes ☒ No5. Name and address of party to whom correspondence concerning  
this document should be sent

Name: K. David Crockett, Esq.

Internal Address:

Street Address: Crockett & Crockett  
24012 Calle De La Plata, Suite 400  
City: Laguna Hills State: California ZIP 92653

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐

Enclosed

☒

Authorized to be charged to deposit account

8. Deposit Account Number: 03-3700

Please charge this Deposit Account if any additional fee is required.  
(Attach duplicate copy of this page if paying by deposit account.)

DO NOT USE THIS SPACE

9 Statement and signature.

*To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document.*

K. David Crockett, Esq., Reg. No. 34,311

Date: February 8, 2002

Total number of pages comprising cover sheet: